



PATENT

Attorney Docket No.: SAM-0270DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jae-goo Lee

Examiner: Ingham, John C.

Serial No.: 10/706,461

Group Art Unit: 2814

Filing Date: November 12, 2003

Title: SEMICONDUCTOR DEVICE HAVING MULTI-LAYERED SPACER
AND METHOD OF MANUFACTURING THE SAMECERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

8.24.05

Date

Vanessa Marakas
Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☒ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

08/26/2005 00000056 10706461 100.00 UP
01 FC:1806

Applicant(s): Jae-goo Lee
Serial No.: 10/706,461

Statement Under 37 C.F.R. 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed (References AL and AM).
- ☒ Copies of the cited references are of record in U.S. Serial No. 09/994,154, now U.S. Patent No. 6,669,793, the parent of the referenced application (References AA-AD).
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for references AL and AM is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☒ abstract.

It is requested the information disclosed herein be made of record in this application.

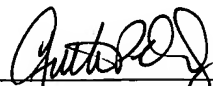
Applicant(s): Jae-goo Lee
Serial No.: 10/706,461

Method of payment:

- ☒ Enclosed is a check in the amount of \$180.00.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: August 24, 2005
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
J:\SAM\0270DIV\IDS\IDSform.wpd



Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



PATENT
Attorney Docket No.: SAM-0270DIV
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jae-goo Lee
Serial No.: 10/706,461
Filing Date: November 12, 2003
Title: SEMICONDUCTOR DEVICE HAVING MULTI-LAYERED SPACER
AND METHOD OF MANUFACTURING THE SAME

Examiner: Ingham, John C.
Group Art Unit: 2814

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

8-24-05

Date

Vanessa Marakas

Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

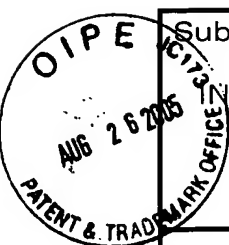
1. Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AL and AM;
3. Check in the amount of \$180.00 to cover fee under 37 CFR 1.17(p); and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: August 24, 2005
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
J:\SAM\0270DIV\IDS\transIDS.wpd

Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Subst. Form PTO-1449

Docket Number (Optional)

SAM-0270DIV

Application Number

10/706,461

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

Jae-goo Lee

Filing Date

November 12, 2 003

Group Art Unit

2814

(Use several sheets if necessary)

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,040,223	03/21/00	Liu, <i>et al.</i>	438	303	08/13/99
	AB	6,071,826	06/06/00	Cho, <i>et al.</i>	438	723	02/12/99
	AC	6,165,883	12/26/00	Hiura	438	592	11/18/99
	AD	6,329,225	12/11/01	Rodder	438	151	11/10/99
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL	1996-0035923	10/28/96	Korea	HO1L	21/336		X
	AM	2000-156502	06/06/00	Japan	HO1L	29/78		X
	AN							
	AO							
	AP							
	AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.